

Audit and governance committee draft work programme

Meeting date	Topic	Contributors	External presentation (Y/N)
22-Jun	Whistleblowing Policy. Anti-Fraud and Corruption Policy. Anti-Money Laundering Policy. External Audit Update. Business Assurance Strategy (including Internal Audit Plan) 2021/ 2022. Risk Management Framework 2021/ 2022. Appointments to the Risk Management Group. Internal Audit Charter. Work programme.	Maggie Gibb Richard Ambrose	Iain Murray Grant Thornton
28-Jul	Buckinghamshire Council Audit Plan. Buckinghamshire Council Fee letter. Pension Fund Audit Plan. Update on External Audit Actions. Business Assurance Update. Treasury Management Annual Report 2020/21. IT Audit Needs Assessment. Risk Management Group update. Work programme. Contract Exemptions & Breaches.	Julie Edwards Cael Sendell-Price Simon James Maggie Gibb Richard Ambrose	Iain Murray Grant Thornton Martin Baird Mazars
29-Sep	Full Council Motion – Climate Change Risk Management. Pension Fund Statement of Accounts 2020/ 2021 and Pension Fund Audit Findings. Business Assurance Update. Risk Management Group update. Work programme.	Maggie Gibb Julie Edwards Richard Ambrose	Iain Murray Grant Thornton
30-Nov	Full Council Motion – Climate Change Risk Management. (Maggie). IT Audit Plan update. (Martin/ Tony/ Sarah B) - verbal update. Business Continuity Management update. (Sarah M-B/ Lloyd). Buckinghamshire Council Annual Report of the Chief Auditor 2020/ 2021. (Maggie). Farnham Park Accounts. Business Assurance Update. (Maggie). Treasury Management mid-year update 2021/ 2022. CIPFA Review - verbal update. Risk Management Group update. Work programme.	Tony Ellis Sarah Barnes Sarah Murphy-Brookman Lloyd Jeffries <i>Resources Directorate</i> Hasina Shah Expert Finance Systems Lead Sophie Payne Service Director Communities Julie Edwards Pension and Investments Manager Maggie Gibb Head of Business Assurance and Chief Auditor Richard Ambrose Section 151 Officer, Service Director Corporate Finance	Martin Baird Mazars (IT audit item).
25-Jan	Report on the Public Sector Audit Appointment (PSAA). Buckinghamshire Council Draft Statement of Accounts 2020/ 2021. (audit not complete) Higginson Park Trust Fund accounts. Treasury Management Strategy 2022/ 2023. 2021/22 Business Assurance Strategy Update. Contract Exemptions & Breaches (6 month update). Lessons learnt from other local authorities. Work programme.	Julie Edwards Pension and Investments Manager Maggie Gibb Head of Business Assurance and Chief Auditor Cael Sendell-Price Head of Strategic Procurement Richard Ambrose Section 151 Officer, Service Director Corporate Finance	
22-Mar	2021/22 Business Assurance Strategy Update. External Quality Assessment of Conformance to the Public Sector Internal Audit Standards (CIPFA) Council Constitution. Audit and Governance Committee Annual Report to Council. Risk Management Group update. Post incident report - Secondary School Transfer Results System Failure (for information) Work programme.	Maggie Gibb Head of Business Assurance and Chief Auditor Richard Ambrose Section 151 Officer, Service Director Corporate Finance	
11-May	Buckinghamshire Council Statement of Accounts 2020/ 2021. Buckinghamshire Council final audit report 2021/ 2022 (including update on previous external audit actions) Buckinghamshire Council Annual Governance Statement 2020/ 2021. Audit and Governance Committee Review of Effectiveness. 2022/23 Draft Business Assurance Strategy (including Internal Audit Plan) Business Assurance Update.	Maggie Gibb Head of Business Assurance and Chief Auditor Richard Ambrose Section 151 Officer, Service Director Corporate Finance	
Tbc	Housing Fraud Strategy 6 month update on Lessons learnt from other local authorities Action Plan (circa July 2022)	Maggie Gibb Head of Business Assurance and Chief Auditor	